



Patent Application Attorney Docket No.: 57941.000062 Client Reference No.: RA001.2003.1.C.US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael Farmwald, et al.

Group Art Unit: 2827

Appln. No.: 10/716,595

Examiner: Tan Nguyen

Filed: November 20, 2003

.

For: MEMORY DEVICE AND METHOD FOR

OPERATING SAME

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty under 37 C.F.R. § 1.56 of each individual associated with the filing and prosecution of the above-identified patent application (hereinafter, "associated information known individuals") to disclose all t.o individual to be material to patentability, Applicant(s) hereby attached (modified) listing cited submits Form PTO-1449 This submission is made in accordance with 37 references. C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609.

The cited references, while believed to be of some relevance, are not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. Applicant(s) hereby expressly

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reserves the right to swear behind the effective dates of any of the cited references. Applicant(s) further reserves the right to question the relevance, materiality, and/or prior art status of any of the cited references in whole, in part, or in combination, subsequent to the filing of this information disclosure statement. This information disclosure statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this information disclosure statement discloses only the best references of which the associated individuals are aware.

The undersigned hereby states under 37 C.F.R. § 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual associated with the filing and prosecution of the above-identified patent application more than three months prior to the filing of this information disclosure statement.

The Examiner is respectfully requested to consider each of the cited references, to indicate such consideration by initialing in the space provided next to each cited reference on the enclosed Form PTO-1449 (modified), to sign the initialed

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Form PTO-1449 (modified), and to return a copy of the same with the next communication to the Applicant(s).

In accordance with 37 C.F.R. § 1.97(b), this information disclosure statement is being filed (i) within three months of the filing date of the above-identified patent application; (ii) within three months of the date upon which the above-identified patent application entered the national stage as set forth in 37 C.F.R. § 1.491; (iii) before the mailing date of a first Office the merits for the above-identified Action on patent application; or (iv) before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Accordingly, no statement or fee is required.

Respectfully submitted,

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Dar.

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Date: January 13, 2006

Approved for use through 10/31/2002. OMB 0651-0031 S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| or form 1449A/PT                 | 0   | WT 8                           | Complete if Known      |  |      |
|                                  |     |                                | Application Number     | 10/716,595   |      |
| RMATION                          | DIS | CLOSURE                        | Filing Date            | November 20, 2003  |      |
| EMENT BY APPLICANT               |     |                                | First Named Inventor   | Michael Farmwald, et al.   |      |
|                                  |     |                                | Group Art Unit         | 2827   |      |
| use as many sheets as necessary) |     |                                | Examiner Name          | Tan Nguyen   |      |
| 1                                | of  | 1                              | Attorney Docket Number | 57941.000062   |      |

| U.S. PATENT DOCUMENTS   |              |              |   |   |  |   |  |
|-------------------------|--------------|--------------|---|---|--|---|--|
| Examiner C Initials * N |              | U.S. Pa      | atent Document                                | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevar  |  |
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|                    | FOREIGN PATENT DOCUMENTS |                         |          |   |                                   |                              |  |                 |
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| Examiner Cite No.1 | 0                        | Foreign Patent Document |          |   | Name of Patentee                  | Date of Publication of       | Pages, Columns, Lines,                             |                 |
|                    |                          | Office <sup>3</sup>     | Number⁴  | Kind Code <sup>5</sup><br>( <i>if known</i> ) | or Applicant of<br>Cited Document | Cited Document<br>MM-DD-YYYY | Where Relevant Passages or Relevant Figures Appear | Transla<br>tion |
|                    | 1.                       | JP                      | 1-124193 |   | Fujishima, et al.                 | 05-17-1989                   |  | Yes             |
|                    | 2.                       | JP                      | 1-159891 |   | Fujishima, et al.                 | 06-22-1989                   |  | Yes             |
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark hee if English language Translation is attached.